

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	:	Group Art Unit:
Challener, et. al.	:	Intellectual Property Law Department
Serial No.:	:	International Business
Filed:	:	Machines Corporation
Title: System and Method to Allow Valid	:	PSG IP Law -- 9CCA/002-2
Profiles in Autonomic Computing	:	3039 Cornwallis Road
Discovery	:	Research Triangle Park, NC 27709

**INFORMATION DISCLOSURE STATEMENT**

The Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.


While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

By   
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In Place of FORM PTO-1449 (Modified)

Serial No. \_\_\_\_\_

Applicant: **Challener, et. al.**

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. **RPS920030161US1**

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

**U. S. PATENT DOCUMENTS**

<u>Examiner Initial</u>		<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	<u>Filing Date if Appropriate</u>
_____	AA	6,167,408	Dec 26, 2000	Cannon et al.	707	203	
_____	AB	6,466,932	Oct 15, 2002	Dennis et al.	707	3	
_____	AC	6,393,473	May 21, 2002	Chu	709	223	
_____	AD	2002/0165961	Nov 7, 2002	Everdell et al.	709	225	
_____	AE						
_____	AF						
_____	AG						
_____	AH						
_____	AI						
_____	AJ						
_____	AK						
_____	AL						

**FOREIGN PATENT DOCUMENTS**

<u>Examiner Initial</u>		<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Translation Yes No</u>
_____	AM						
_____	AN						
_____	AO						
_____	AP						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

<u>Examiner Initial</u>	
_____	AQ
_____	AR
_____	AS
_____	AT
_____	AU

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.